



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9405  
2/16/2  
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In re patent application of

K. Asakawa, et al.

Group Art Unit: 2814

Serial No. 09/497,499

Examiner: Louie, W.

Filed February 4, 2000

For: SEMICONDUCTOR DEVICE AND METHODS OF FABRICATING THE SAME

Honorable Commissioner of  
Patents and Trademarks,  
Washington, D.C. 20231

Date: January 22, 2002

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed please find an Information Disclosure Statement as well as references for consideration under 37 C.F.R. §1.97(c). Permission is hereby given to charge Deposit Account 50-0238 for the fee required under 37 C.F.R. §1.17(p).

In the event that there are any outstanding matters remaining in the present application, the Examiner is invited to contact William S. Francos, Esq. (Reg. No. 38,456) at (610) 375-3513 to discuss these matters.

If necessary, the Commissioner is hereby authorized in this, concurrent, and further replies to charge payment or credit any overpayment to Deposit Account Number

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50-0238 for any additional fees under 37 C.F.R. §1.16 or under 37 C.F.R. §1.17.

Respectfully submitted on behalf of:

K. Asakawa, et al.



William S. Francos, Esq.  
Reg. No. 38,456

Date: January 22, 2002  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OKI.147

In Re Application Of:

K. Asakawa, et al.

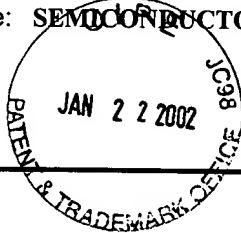
Serial No.  
09/497,499

Filing Date  
02/04/2000

Examiner  
W. Louie

Group Art Unit  
2814

Title: **SEMICONDUCTOR DEVICE AND METHODS OF FABRICATING THE SAME**



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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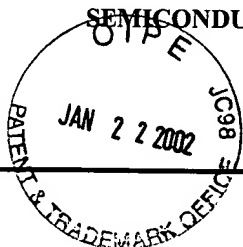
Serial No.  
09/497,499

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W. Louie

Group Art Unit  
2814

SEMICONDUCTOR DEVICE AND METHODS OF FABRICATING THE SAME



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0238 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of *the fee under 37 CFR 1.17(p).*
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*William S. Francos*  
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Dated: 01/22/2002

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